

# Contents

<b>1</b>	<b>Introduction: Overview of Agile Microwave Technologies .....</b>	<b>1</b>
1.1	Introduction.....	1
1.2	Ferroelectrics: The Main Material Properties .....	2
1.2.1	Ferroelectric Properties .....	3
1.2.2	Dielectric Properties.....	4
1.2.3	Acoustic Properties .....	5
1.2.4	Typical Microwave Paraelectrics .....	6
1.3	Microwave Applications .....	7
1.3.1	Historical Overview .....	7
1.3.2	Current Status.....	8
1.3.3	Potential and Trends.....	11
1.4	Other Agile Microwave Technologies .....	15
1.5	Conclusions.....	18
	References .....	18
<b>2</b>	<b>Physics of the Tunable Ferroelectric Devices.....</b>	<b>21</b>
2.1	Introduction.....	21
2.2	Crystal Structure, Non-Polar (Paraelectric) and Polar (Ferroelectric) Phases.....	22
2.3	Dielectric Models of the Ferroelectric and Paraelectric Phases ....	24
2.3.1	Phenomenological (Thermodynamic) Theory.....	24
2.3.2	Microscopic Theory .....	27
2.4	Engineering Models of the Dielectric Permittivity .....	29
2.4.1	Barrett’s Formula. Bulk Single Crystals .....	29
2.4.2	Rupprecht–Bell–Silverman Model. Bulk Single Crystals.....	30
2.4.3	Vendik’s Model. Bulk Single Crystals.....	31
2.4.4	Granular Ceramics and Composites.....	32
2.4.5	Columnar Thin Film Ceramics and Composites .....	34

2.5	Models of the Loss Tangent .....	37
2.5.1	Loss Mechanisms and Early Models of the Loss Tangent .....	37
2.5.2	Models of the Main Loss Mechanisms.....	39
2.6	Dielectric Nonlinearities .....	44
2.6.1	Nonlinear Performance of Paraelectrics.....	44
2.6.2	Nonlinearity and Power Handling Capability .....	45
2.7	Thin Films vs. Bulk.....	46
2.7.1	Thin Film vs. Bulk Single Crystal.....	46
2.7.2	Strain .....	48
2.7.3	The Effects of the Strain on Dielectric Properties of the Thin Films.....	50
2.8	Electro-Acoustic Properties .....	52
2.8.1	Electrostriction .....	52
2.8.2	Piezoelectricity and Electrostriction.....	52
2.8.3	Electric Field Induced Piezoelectricity in Paraelectric Films.....	54
2.9	Bulk Conductivity .....	56
2.10	Conclusions.....	57
	References .....	57
<b>3</b>	<b>Fabrication of Ferroelectric Components and Devices .....</b>	<b>61</b>
3.1	Introduction.....	61
3.2	Fabrication of Devices Using Single Crystals.....	63
3.2.1	Growth Techniques of Single Crystals.....	63
3.2.2	Structural Characterization.....	64
3.2.3	Bulk Single Crystal Devices .....	65
3.2.4	Thin Film Single Crystal Capacitors .....	66
3.3	Fabrication of Devices Using Bulk Ceramics .....	68
3.3.1	Ceramic Processes.....	68
3.3.2	Bulk Ceramic Device Fabrication .....	68
3.3.3	Structure of the Bulk Ferroelectric Ceramics .....	73
3.4	Thick Film, HTCC and LTCC Technologies.....	74
3.4.1	Fabrication of Devices Using Thick Film Technology ....	74
3.4.2	Fabrication of HTCC and LTCC Devices .....	76
3.4.3	Structure of Thick and HTCC/LTCC Films .....	78
3.5	Fabrication of Thin Ferroelectric Films .....	80
3.5.1	Chemical Deposition Methods.....	81
3.5.2	Physical Deposition Methods.....	87
3.6	Thin Film Device Processing .....	98
3.6.1	Coplanar-Plate Configuration .....	99
3.6.2	Parallel-Plate Configuration.....	101
3.7	Substrate Micromachining and Passivation .....	106
3.7.1	Substrate Micromachining .....	106
3.7.2	Substrate Passivation.....	107

3.8	Conclusions .....	108
	References .....	109
<b>4</b>	<b>Substrates, Varactors and Passive Components</b> .....	<b>115</b>
4.1	Introduction .....	115
4.2	Substrates .....	116
4.2.1	Common Substrates .....	116
4.2.2	Silicon as a Microwave Substrate .....	118
4.2.3	High Resistivity Silicon .....	119
4.3	Varactors. Basic Designs and Figure of Merit .....	125
4.3.1	Basic Designs of Ferroelectric Varactors .....	125
4.3.2	Figure of Merit, Structure and Performance of Ferroelectric Films .....	128
4.3.3	Correlation of the Design with the Film Structure .....	129
4.3.4	Varactor Design Issues.....	134
4.4	Equivalent Circuit Model of the Varactors .....	139
4.4.1	Equivalent Circuit .....	139
4.4.2	Impedance of Parallel-Plate Varactors .....	143
4.5	Low Frequency and Tuning Performances .....	144
4.5.1	C-V and P-V Performances.....	144
4.5.2	I-V Performance.....	146
4.5.3	Tuneability and Response Time .....	149
4.6	Microwave Performance .....	151
4.6.1	Parallel-Plate Varactors.....	151
4.6.2	Coplanar-Plate Varactors .....	156
4.6.3	Distributed Varactors .....	161
4.7	Power Handling Capability and High Power Varactors .....	162
4.7.1	Parallel-Plate Varactors.....	163
4.7.2	Coplanar-Plate Varactors .....	164
4.8	Ferroelectrics in Passive Devices as High Permittivity Dielectric.....	165
4.8.1	High Density Capacitors .....	165
4.8.2	MEMs with Ferroelectric Spacers.....	167
4.8.3	MOS Transistors with Ferroelectrics as Gate Dielectric .....	168
4.9	Conclusions .....	169
	References .....	170
<b>5</b>	<b>Ferroelectric Devices</b> .....	<b>175</b>
5.1	Introduction .....	175
5.2	Tunable Delay Lines and Delay Line Type Phase Shifters .....	176
5.2.1	Figure of Merit .....	176
5.2.2	Periodically Loaded Lines.....	177
5.2.3	Uniformly Loaded Delay Lines.....	182
5.2.4	Other Delay Lines .....	186

5.3	Phase Shifters .....	187
5.3.1	Figure of Merit of an Analog Phase Shifter .....	187
5.3.2	Periodically Loaded Line Phase Shifters.....	188
5.3.3	Reflection Type Phase Shifters .....	192
5.3.4	Phase Shifters Based on All Pass Filter Topology .....	192
5.3.5	Other Phase Shifters .....	194
5.4	Tunable Filters .....	196
5.4.1	Tunable Resonators .....	196
5.4.2	Bandpass Filters .....	199
5.4.3	Notch Filters.....	203
5.5	Matching Networks (Impedance Tuners).....	204
5.6	Power Splitters .....	206
5.7	Antennas .....	207
5.8	Nonlinear Devices.....	208
5.8.1	Harmonic Generators .....	208
5.8.2	Frequency Up-Converters .....	208
5.8.3	Power Limiters .....	209
5.8.4	Pulse Shapers .....	210
5.8.5	Parametric Amplifiers .....	211
5.9	TFBARs .....	212
5.9.1	Basic Designs and Resonant Frequencies .....	212
5.9.2	Tunable TFBARs .....	213
5.10	Conclusions.....	217
	References .....	217
<b>6</b>	<b>Circuit and System Applications of Tunable Ferroelectric Devices ..</b>	<b>225</b>
6.1	Introduction.....	225
6.2	Voltage Controlled Oscillators.....	226
6.3	Amplifiers .....	229
6.4	Steerable Phased Array and Beam Antennas .....	231
6.4.1	Phased Arrays .....	231
6.4.2	Steerable Beamformers and Phased Arrays .....	232
6.4.3	Nontraditional and Lens Type Steerable Beamformers ...	236
6.5	Conclusions.....	241
	References .....	242
<b>7</b>	<b>Modeling.....</b>	<b>245</b>
7.1	Introduction.....	245
7.2	Coplanar-Plate Transmission Lines .....	246
7.2.1	The Equivalent Circuit of the Lines .....	246
7.2.2	Coplanar-Strip Waveguides .....	249
7.2.3	Coplanar Waveguides .....	259
7.3	Multilayer Substrate Coplanar-Plate Capacitors .....	260
7.3.1	Coplanar Plate Capacitors with the Straight Gap (Slot)...	260
7.3.2	Interdigital (IDC) Coplanar-Plate Capacitors.....	265

7.4	Parallel-Plate Capacitor.....	267
7.5	Conclusions.....	271
	Appendix A.....	273
	Appendix B.....	276
	Appendix C.....	280
	Appendix D.....	285
	References.....	285
<b>8</b>	<b>Measurements of the Dielectric Properties .....</b>	<b>287</b>
8.1	Introduction.....	287
8.2	Resonant Techniques .....	289
8.2.1	Disk Resonator Technique .....	289
8.2.2	Courtney Resonator.....	291
8.2.3	Composite Resonator Method.....	295
8.2.4	Split-Post Dielectric Resonator Method for Thick and Thin Films .....	297
8.2.5	Open Resonator Technique .....	299
8.2.6	Resonant Technique for on Wafer Characterization of the Ferroelectric Varactors and Films .....	302
8.2.7	Transmission Line Resonator Method .....	306
8.2.8	Near Field Scanning Microscope .....	308
8.2.9	Uncertainty of Resonant Measurements.....	311
8.3	Broadband Techniques.....	317
8.3.1	Transmission/Reflection Method. Bulk Samples in Waveguides.....	317
8.3.2	Film Measurements Using Coplanar Waveguide (CPW) .....	321
8.3.3	Film Measurements Using Coupled Microstrip Lines .....	325
8.3.4	Measurements Using Test Varactors.....	326
8.4	Nonlinear Measurements of Ferroelectrics .....	330
8.5	Switching Time of Ferroelectric Films .....	332
8.6	Conclusions.....	334
	Appendix E.....	336
	Appendix F.....	343
	Appendix G.....	346
	References.....	347
<b>9</b>	<b>Potentials and Perspectives.....</b>	<b>351</b>
9.1	Introduction.....	351
9.2	Multiferroics.....	352
9.3	Ferroelectric Nanotubes. Ferromagnetic Nanowires .....	354
9.4	Metamaterials.....	357
9.5	Bridging the “THz Gap” .....	360
9.6	Other Tunable Materials .....	361
9.6.1	Pyrochlores.....	362

9.6.2	Resistive Switching in Oxides.....	364
9.6.3	High Temperature Superconductors (HTS).....	367
9.6.4	Liquid Crystals.....	367
9.7	Other/New Effects.....	370
9.7.1	Resistivity Switching in Doped SrTiO <sub>3</sub> .....	370
9.7.2	Nanoscale Effects.....	372
9.7.3	Integration with Semiconductors .....	372
9.8	Conclusions.....	373
	References .....	374
<b>10</b>	<b>Concluding Remarks.....</b>	<b>379</b>
10.1	Introduction.....	379
10.2	Stabilization of the Temperature Dependences.....	379
10.2.1	Intrinsic Temperature Dependences of Permittivity and Tuneability.....	380
10.2.2	Materials and Device Design Based Methods of Stabilization .....	381
10.3	Nonlinearity and Power Handling Capability .....	384
10.4	Hysteresis, Retention, Long Term Stability and Noise .....	384
10.5	Reliability.....	387
10.6	Integration Trends.....	388
	References .....	389
	<b>Index .....</b>	<b>391</b>