

# Contents

<b>1. Introduction</b> .....	1
<b>2. Schrödinger Theory from the Perspective of ‘Classical’ Fields Derived from Quantal Sources</b> .....	7
2.1 Time-Dependent Schrödinger Theory .....	7
2.2 Definitions of Quantal Sources .....	8
2.2.1 Electron Density $\rho(\mathbf{r}t)$ .....	9
2.2.2 Spinless Single-Particle Density Matrix $\gamma(\mathbf{r}\mathbf{r}'t)$ .....	9
2.2.3 Pair-Correlation Density $g(\mathbf{r}\mathbf{r}'t)$ , and Fermi-Coulomb Hole $\rho_{xc}(\mathbf{r}\mathbf{r}'t)$ .....	10
2.2.4 Current Density $\mathbf{j}(\mathbf{r}t)$ .....	11
2.3 Definitions of ‘Classical’ Fields .....	12
2.3.1 Electron-Interaction Field $\mathcal{E}_{ee}(\mathbf{r}t)$ .....	12
2.3.2 Differential Density Field $\mathcal{D}(\mathbf{r}t)$ .....	12
2.3.3 Kinetic Field $\mathcal{Z}(\mathbf{r}t)$ .....	13
2.3.4 Current Density Field $\mathcal{J}(\mathbf{r}t)$ .....	13
2.4 Energy Components in Terms of Quantal Sources and Fields .	14
2.4.1 Electron-Interaction Potential Energy $E_{ee}(t)$ .....	14
2.4.2 Kinetic Energy $T(t)$ .....	15
2.4.3 External Potential Energy $E_{\text{ext}}(t)$ .....	16
2.5 Pure State Differential and Integral Virial Theorems .....	16
2.6 The Quantum-Mechanical ‘Hydrodynamical’ Equations .....	18
2.7 The Internal Field of the Electrons and Ehrenfest’s Theorem .	19
2.8 The Harmonic Potential Theorem .....	23
2.9 Time-Independent Schrödinger Theory: Ground and Bound Excited States .....	24
2.9.1 Coalescence Conditions .....	26
2.9.2 Asymptotic Structure of Wavefunction and Density ...	27
2.10 Examples of the Field Perspective: The Ground and First Excited Singlet State of the Hooke’s Atom .....	30
2.10.1 Wavefunction, Orbital Function, and Density .....	31
2.10.2 Fermi-Coulomb Hole Charge Distribution $\rho_{xc}(\mathbf{r}\mathbf{r}')$ ...	34

2.10.3	Hartree, Pauli–Coulomb, and Electron–Interaction Fields $\mathcal{E}_H(\mathbf{r})$ , $\mathcal{E}_{xc}(\mathbf{r})$ , $\mathcal{E}_{ee}(\mathbf{r})$ and Energies $E_H$ , $E_{xc}$ , $E_{ee}$ .....	36
2.10.4	Kinetic Field $\mathcal{Z}(\mathbf{r})$ and Kinetic Energy $T$ .....	39
2.10.5	Differential Density Field $\mathcal{D}(\mathbf{r})$ .....	40
2.10.6	Total Energy $E$ and Ionization Potential $I$ .....	42
2.10.7	Expectations of Other Single–Particle Operators .....	42
2.11	Schrödinger Theory and Quantum Fluid Dynamics .....	43
2.11.1	Single–Electron Case .....	43
2.11.2	Many–Electron Case .....	45
<b>3.</b>	<b>Quantal Density Functional Theory</b> .....	<b>49</b>
3.1	Time-Dependent Quantal Density Functional Theory .....	50
3.1.1	Quantal Sources .....	51
3.1.2	Fields .....	54
3.1.3	Total Energy and Components in Terms of Quantal Sources and Fields .....	57
3.1.4	Effective Field $\mathcal{F}^{\text{eff}}(\mathbf{r}t)$ and Electron–Interaction Potential Energy $v_{ee}(\mathbf{r}t)$ .....	60
3.2	Sum Rules .....	63
3.2.1	Integral Virial Theorem .....	63
3.2.2	Ehrenfest’s Theorem .....	63
3.2.3	Torque Sum Rule .....	64
3.3	Time-Independent Quantal Density Functional Theory .....	65
3.3.1	Quantal Sources .....	66
3.3.2	Fields .....	67
3.3.3	Total Energy and Components .....	67
3.3.4	Effective Field $\mathcal{F}^{\text{eff}}(\mathbf{r})$ and Electron–Interaction Potential Energy $v_{ee}(\mathbf{r})$ .....	68
3.3.5	Sum Rules .....	69
3.3.6	Highest Occupied Eigenvalue $\epsilon_m$ .....	70
3.3.7	Endnote .....	70
3.4	Application of Q–DFT to the Ground and First Excited Singlet State of the Hooke’s Atom .....	71
3.4.1	S System Wavefunction, Spin–Orbitals, and Density ..	71
3.4.2	Pair–Correlation Density; Fermi and Coulomb Hole Charge Distributions .....	72
3.4.3	Hartree, Pauli, and Coulomb Fields $\mathcal{E}_H(\mathbf{r})$ , $\mathcal{E}_x(\mathbf{r})$ , $\mathcal{E}_c(\mathbf{r})$ and Energies $E_H$ , $E_x$ , $E_c$ .....	77
3.4.4	Hartree $W_H(\mathbf{r})$ , Pauli $W_x(\mathbf{r})$ , and Coulomb $W_c(\mathbf{r})$ Potential Energies .....	79
3.4.5	Correlation–Kinetic Field $\mathcal{Z}_{tc}(\mathbf{r})$ , Energy $T_c$ , and Potential Energy $W_{tc}(\mathbf{r})$ .....	81
3.4.6	Total Energy and Ionization Potential .....	85

3.5	Quantal Density Functional Theory of Hartree–Fock and Hartree Theories . . . . .	86
3.5.1	Hartree–Fock Theory . . . . .	87
3.5.2	The Slater–Bardeen Interpretation of Hartree–Fock Theory . . . . .	89
3.5.3	Theorems in Hartree–Fock Theory . . . . .	90
3.5.4	Q–DFT of Hartree–Fock Theory . . . . .	91
3.5.5	Hartree Theory . . . . .	94
3.5.6	Q–DFT of Hartree Theory . . . . .	96
<b>4.</b>	<b>The Hohenberg–Kohn Theorems and Kohn–Sham Density Functional Theory . . . . .</b>	<b>99</b>
4.1	The Hohenberg–Kohn Theorems . . . . .	100
4.1.1	Inverse Maps and Constrained Search . . . . .	105
4.2	Kohn–Sham Density Functional Theory . . . . .	107
4.3	Time-Dependent Density Functional Theory . . . . .	111
4.4	Corollary to the Hohenberg–Kohn Theorem . . . . .	114
4.4.1	Time-Independent Case . . . . .	115
4.4.2	Time-Dependent Case . . . . .	120
4.4.3	Endnote . . . . .	122
<b>5.</b>	<b>Physical Interpretation of Kohn–Sham Density Functional Theory . . . . .</b>	<b>125</b>
5.1	Interpretation of the Kohn–Sham Electron–Interaction Energy Functional $E_{ee}^{KS}[\rho]$ and Its Derivative $v_{ee}(\mathbf{r})$ . . . . .	126
5.2	Adiabatic Coupling Constant Scheme . . . . .	130
5.2.1	Q–DFT Within Adiabatic Coupling Constant Framework . . . . .	131
5.2.2	KS–DFT Within Adiabatic Coupling Constant Framework . . . . .	133
5.2.3	Q–DFT and KS–DFT in Terms of the Adiabatic Coupling Constant Perturbation Expansion . . . . .	135
5.3	Interpretation of the Kohn–Sham ‘Exchange’ Energy Functional $E_x^{KS}[\rho]$ and Its Derivative $v_x(\mathbf{r})$ . . . . .	137
5.4	Interpretation of the Kohn–Sham ‘Correlation’ Energy Functional $E_c^{KS}[\rho]$ and Its Derivative $v_c(\mathbf{r})$ . . . . .	138
5.5	Interpretation of the KS–DFT of Hartree–Fock Theory . . . . .	139
5.6	Interpretation of the KS–DFT of Hartree Theory . . . . .	140
5.7	The Optimized Potential Method . . . . .	141
5.7.1	The Exchange–Only Optimized Potential Method . . . . .	142
5.8	Physical Interpretation of the Optimized Potential Method . . . . .	146
5.8.1	Interpretation of ‘Exchange–Only’ OPM . . . . .	147

<b>6. Quantal Density Functional Theory of the Density Amplitude</b> .....	153
6.1 Density Functional Theory of the B System .....	154
6.1.1 DFT Definitions of the Pauli Kinetic and Potential Energies .....	157
6.2 Derivation of the Differential Equation for the Density Amplitude from the Schrödinger Equation ...	158
6.3 Quantal Density Functional Theory of the B System .....	161
6.3.1 Q–DFT Definitions of the Pauli Kinetic and Potential Energy .....	164
6.4 Endnote .....	166
<b>7. Quantal Density Functional Theory of the Discontinuity in the Electron–Interaction Potential Energy</b> .....	167
7.1 Origin of the Discontinuity of the Electron–Interaction Potential Energy .....	168
7.2 Expression for Discontinuity $\Delta$ in Terms of S System Eigenvalues .....	171
7.3 Correlations Contributing to the Discontinuity According to Kohn–Sham Theory .....	174
7.4 Quantal Density Functional Theory of the Discontinuity .....	175
7.4.1 Correlations Contributing to the Discontinuity According to Q–DFT: Analytical Proof .....	177
7.4.2 Numerical Examples .....	180
7.5 Endnote .....	186
<b>8. Further Insights Derived Via Quantal Density Functional Theory</b> .....	187
8.1 The Local Density Approximation in Kohn–Sham Theory ...	189
8.1.1 Derivation and Interpretation of Electron Correlations Via Kohn–Sham Theory .....	189
8.1.2 Derivation and Interpretation of Electron Correlations Via Quantal Density Functional Theory .....	192
8.1.3 Structure of the Fermi Hole in the Local Density Approximation .....	198
8.1.4 Endnote .....	203
8.2 Slater Theory .....	205
8.2.1 Derivation of the Exact ‘Slater Potential’ .....	205
8.2.2 Why the ‘Slater Exchange Potential’ Does Not Represent the Potential Energy of an Electron .....	208
8.2.3 Correctly Accounting for the Dynamic Nature of the Fermi Hole .....	210
8.2.4 The Local Density Approximation in Slater Theory ...	212

<b>9. Epilogue</b> .....	215
9.1 Asymptotic Structure of the Electron–Interaction Potential Energy in the Classically Forbidden Region of Atoms and Metal Surfaces .....	216
9.1.1 Asymptotic Structure in Atoms .....	216
9.1.2 Asymptotic Structure at Metal Surfaces .....	217
<b>Appendices</b> .....	221
A. Proof of the Pure State Differential Virial Theorem .....	221
B. Proof of the Harmonic Potential Theorem .....	225
C. Analytical Expressions for the Properties of the Ground and First Excited Singlet States of the Hooke’s Atom .....	227
C.1 Ground State ( $k = 1/4$ ) .....	227
C.2 First Excited Singlet State ( $k = 0.144498$ ; $\omega = \sqrt{k} = 0.381029$ ) .....	232
D. Derivation of the Kinetic–Energy–Density Tensor for Hooke’s Atom in Its Ground State .....	236
E. Proof of the S System Differential Virial Theorem .....	238
F. Derivation of the Pair–Correlation Density in the Local Density Approximation for Exchange .....	240
<b>References</b> .....	245
<b>Index</b> .....	253